



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,729	03/13/2001	Kannan Srinivasan	053931-5001

009629
MORGAN, LEWIS & BOCKIUS
1800 M STREET NW
WASHINGTON, DC 20036-5869

CONFIRMATION NO. 2030

FORMALITIES LETTER



OC000000006018617

Date Mailed: 04/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/05/2001 JADD01 00000031 09804729

01 FC:205 65.00 OP

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)

Docket No. **01-168**

In Re Application Of: **KANNAN SRINIVASAN and MICHAEL I. SHAMOS**

Serial No.
09/804,729

Filing Date
03/13/01

Examiner
unassigned

Group Art Unit
unassigned

Invention: **METHOD AND SYSTEM FOR DYNAMIC PRICING**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
April 30, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☒ was/were filed on March 13, 2001
- ☐ A separate request for refund.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
01-168

In Re Application Of: **KANNAN SRINIVASAN and MICHAEL I. SHAMOS**

Serial No.
09/804,729

Filing Date
03/13/01

Examiner
unassigned

Group Art Unit
unassigned

Invention: **METHOD AND SYSTEM FOR DYNAMIC PRICING**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees **\$0.00**

Total fees due **\$65.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

**Docket No.
01-168**

In Re Application Of: **KANNAN SRINIVASAN and MICHAEL I. SHAMOS**

**Serial No.
09/804,729**

**Filing Date
03/13/01**

**Examiner
unassigned**

**Group Art Unit
unassigned**

Invention: METHOD AND SYSTEM FOR DYNAMIC PRICING

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$65.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **03-2026**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **03-2026**
A duplicate copy of this sheet is enclosed.



Signature

Dated: June 29, 2001

**Christine L. Wettach
Reg. No. 41,373
Cohen & Grigsby, P.C.
11 Stanwix Street
15th Floor
Pittsburgh, Pennsylvania 15222
(412) 297-4900**

CC:

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

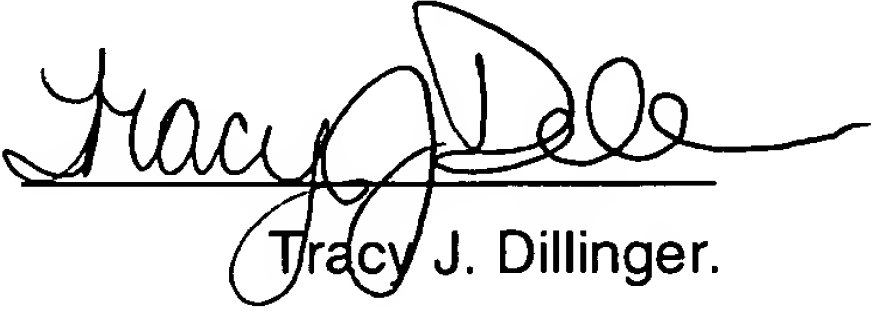
Signature of Person Mailing Correspondence

SEE EXPRESS MAIL

Typed or Printed Name of Person Mailing Correspondence

07-02-01

Sector #

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 01-168
Applicant(s) KANNAS SRINIVASAN and MICHAEL I. SHAMOS			
Serial No.. 09,804,729	Filing Date 6/29/01	Patent No. Unassigned	Issue Date Unassigned
METHOD AND SYSTEM FOR DYNAMIC PRICING			
<p>I hereby certify that the following documents:</p> <ul style="list-style-type: none">1 Response to Notice to File Missing Parts of Application Filing Date Granted (PTO-1533) Small Entity (in duplicate)1 Declaration and Power of Attorney for Patent Application1 Notice to File Missing Parts of Nonprovisional Application1 Drawings (5 sheets)1 Check for \$65.001 ACKNOWLEDGEMENT POSTCARD <p>are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:</p> <p style="text-align: center;">Assistant Commissioner for Patents, Washington, D.C. 20231-0001 Attn: Box Missing Parts</p> <p style="text-align: center;">EXPRESS MAIL LABEL NO.: <u>EL637590205US</u></p> <p>Dated: June 29, 2001</p> <p style="text-align: right;"> Tracy J. Dillinger.</p> <p style="text-align: center;">EL637590205US</p>			